Version: 8/12/05 - Grants.gov applications

FINANCIAL ASSISTANCE FUNDING OPPORTUNITY ANNOUNCEMENT



U.S. Department of Energy Office of Energy Efficiency and Renewable Energy

Codes And Standards For The Hydrogen Economy Funding Opportunity Number: DE-PS36-06GO96011

Announcement Type: Initial

CFDA Number: 81.087 Renewable Energy Research and Development

Issue Date: 12/07/2005

Letter of Intent Due Date: Not Applicable

Pre-Application Due Date: Not Applicable

Application Due Date: 03/10/2006 at 6:00 PM Eastern Time

DE-PS36-06GO96011 Amendment No. 001 Page 1 of 1

DATE: March 9, 2006

FROM: James P. Damm, Contracting Officer

TO: All Prospective Applicants

SUBJECT: Amendment No. 001 to Announcement No. DE-PS36-06GO96011,

Codes and Standards for the Hydrogen Economy

The Announcement is amended as follows:

- 1. The **Application Due Date** on the cover of the Announcement is changed to read **03/31/2006** at **11:59 PM Eastern Time**.
- 2. Under Part IV. E. 2. Application Due Date, the statement, "Applications must be received by March 10, 2006, not later than 6:00 PM Eastern Time" is deleted in its entirety and replaced with the statement, "Applications must be received by March 31, 2006, not later than 11:59 PM Eastern Time."
- 3. Under Part V. C. ANTICIPATED NOTICE OF SELECTION AND AWARD DATES, the statement, "DOE anticipates notifying applicants selected for award by June 1, 2006, and making awards by September 1, 2006" is deleted in its entirety and replaced with the statement, "DOE anticipates notifying applicants selected for award by June 22, 2006, and making awards by September 30, 2006."

All other parts of the Announcement remain unchanged.

NOTE: NEW REQUIREMENTS FOR GRANTS.GOV

Where to Submit

Applications must be submitted through Grants.gov to be considered for award.

Registration Requirements

There are several one-time actions you must complete in order to submit an application through Grants.gov (e.g., obtain a Dun and Bradstreet Data Universal Numbering System (DUNS) number, register with the Central Contract Registry (CCR), register with the credential provider, and register with Grants.gov). See http://www.grants.gov/GetStarted. Use the Grants.gov Organization Registration Checklist at http://www.grants.gov/assets/OrganizationRegCheck.doc to guide you through the process. Designating an E-Business Point of Contact (EBiz POC) and obtaining a special password called an MPIN are important steps in the CCR registration process. Applicants, who are not registered with CCR and Grants.gov, should allow at least 14 days to complete these requirements. It is suggested that the process be started as soon as possible.

Questions

Questions relating to the registration process, system requirements, how an application form works, or the submittal process must be directed to Grants.gov at 1-800-518-4726 or support@grants.gov. Part VII of this announcement explains how to submit other questions to the Department of Energy (DOE).

Application Receipt Notices

After an application is submitted, the Authorized Organization Representative (AOR) will receive a series of four e-mails. It is extremely important that the AOR <u>watch</u> for and <u>save</u> each of the emails. It may take up to two (2) business days from application submission to receipt of email Number 2. You will know that your application has reached DOE when the AOR receives email Number 4. You will need the Submission Receipt Number (email Number 1) to track a submission. The titles of the four e-mails are:

- Number 1 Grants.gov Submission Receipt Number
- Number 2 Grants.gov Submission Validation Receipt for Application Number
- Number 3 Grants.gov Grantor Agency Retrieval Receipt for Application Number
- Number 4 Grants.gov Agency Tracking Number Assignment for Application Number

VERY IMPORTANT – Download PureEdge Viewer

In order to download the application package, you will need to install PureEdge Viewer. This small, free program will allow you to access, complete, and submit applications electronically and securely. For a free version of the software, visit the following web site: http://www.grants.gov/DownloadViewer.

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PART I – FUNDING OPPORTUNITY DESCRIPTION

INTRODUCTION

The Department of Energy (DOE) is developing hydrogen and fuel cell technologies to allow the Nation to aggressively achieve the vision of a diverse, secure, and emissions-free energy future. Through widespread commercialization of hydrogen-powered vehicles, national security interests will be supported by reducing and ultimately eliminating our reliance on foreign oil. To support a 2015 industry commercialization decision on hydrogen-powered fuel cell vehicles, the DOE's Golden Field Office invites applicants to partner with the DOE in facilitating the development of codes and standards for hydrogen and fuel cell technologies and infrastructure.

BACKGROUND

The U.S. and most countries in the world have established laws and regulations that require commercial products to meet all applicable codes and standards to demonstrate that they are safe, perform as designed, and are compatible with systems in which they are used. Hydrogen has an established history of industrial use as a chemical feedstock, but its use as an energy carrier on a large-scale commercial basis remains largely untested and undeveloped. The development and promulgation of codes and standards are essential to establish a market-receptive environment for commercial, hydrogen-based products and systems.

The current lack of significant commercial markets hinders Code Development Organizations (CDOs) and Standard Development Organizations (SDOs) from devoting adequate resources required to have codes and standards available in the required timeframe. The development of codes and standards is typically a long process which involves the consensus development of drafts, followed by revisions and adoption phases. A single "code" can take up to six years to development and adopt. Therefore, codes and standards are a significant barrier to the implementation of a hydrogen energy future. To overcome this barrier, DOE is conducting the research and development needed to strengthen the scientific basis for technical requirements incorporated in national and international standards, codes, and regulations. DOE is also sponsoring a national effort by industry, standards and model code development organizations, and government to prepare, review, and promulgate hydrogen codes and standards needed to expedite hydrogen infrastructure development and to help enable the emergence of hydrogen as a significant energy carrier.

Four application areas have been identified as priorities for codes and standards development - stationary power, hydrogen-fueled vehicles, hydrogen fueling stations, and hydrogen transport.

Stationary Power

Fuel cells for stationary power applications have been commercially available for more than 20 years. As such, stationary fuel cell standards are the most comprehensively available within hydrogen energy applications. However, the standards must be revised or additional ones developed to more adequately represent emerging technologies. Figure 1 illustrates the relevant standards and their development status.

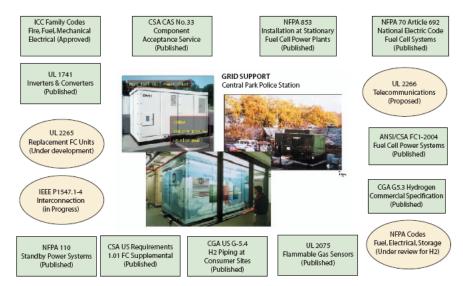


Figure 1 – Domestic Codes and Standards for Stationary Fuel Cells

Fuel Cell Vehicle Standards

The largest gap in developed codes and standards is for fuel cell vehicles. A comprehensive effort is now underway to establish the standards necessary to support the growing demonstration fleets and, ultimately, commercial fuel cell vehicles as illustrated in Figure 2.

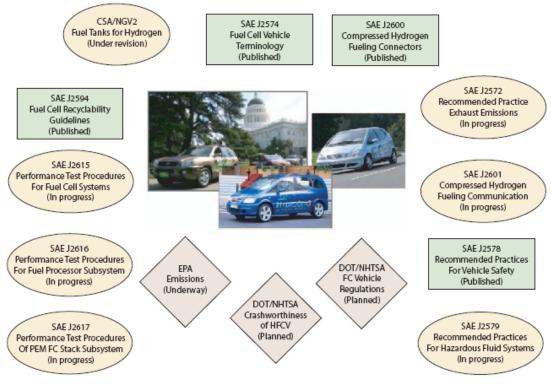


Figure 2 - Domestic Codes and Standards for Hydrogen-Fueled Vehicles

Fueling Station Standards

Although standards have been developed for commercial production, delivery, and use of hydrogen, these industrial-based design requirements and standard operating procedures are not suitable for dealing with hydrogen in a consumer environment. Efforts are focused on developing new standards, or clarifying the language or constraints in established standards to account for the significant differences in hazards and risks as illustrated in Figure 3.

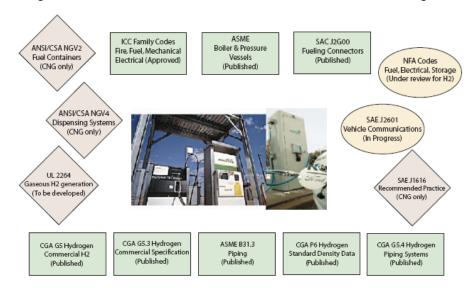


Figure 3 – Domestic Codes and Standards for Hydrogen Fueling Stations

Hydrogen Transport

Since the 1950s, hydrogen has been transported across the U.S. using Department of Transportation regulations for safe transport of hydrogen in bulk and small portable containers. These standards are being updated to address the range of technologies now available.

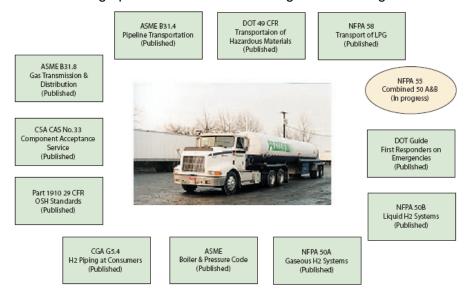


Figure 4 – Domestic Codes and Standards for Hydrogen Transport

OBJECTIVE

By 2015, the necessary codes and standards must be completed to facilitate a successful industry commercialization decision. Codes and standards development efforts are also essential for supporting ongoing and planned technology validation and demonstration activities. The objective for this project will be to ensure the timely completion of the necessary codes and standards for the commercialization of fuel cell vehicles and the infrastructure needed to support them. By establishing strong partnerships with industry, SDOs, and CDOs, this project will facilitate the development process and will support information dissemination to technology developers/implementers and local code officials to enable the safe and consistent deployment of hydrogen and fuel cell technologies.

SCOPE

This Funding Opportunity Announcement (FOA) calls for proposals to lead the coordination effort with SDOs and CDOs. Applicants will work closely with the DOE to facilitate standards development activities. Applicants should demonstrate strong project management capabilities and an understanding of the standards and codes development process. Applicants should identify key barriers to the success of the project and discuss approaches to reducing the risks related to these. For this project, applicants will partner with 10-15 organizations. However, in their proposal, applicants should only include sub-awards necessary for successful management and facilitation of the project. Identification of sub-awards to industry organizations, SDOs, and CDOs for codes and standards development will be done following selection in close collaboration with the DOE, its National Laboratory representatives, and other relevant agencies. However, applicants should present a general approach to contracting such organizations.

Supporting documentation, including a list of organizations currently involved in codes and standards development, can be found in the *DOE Hydrogen*, *Fuel Cells & Infrastructure Technologies Multi-Year Research*, *Development and Demonstration Plan:* (http://www.eere.energy.gov/hydrogenandfuelcells/mypp/pdfs/codes.pdf). Applicants are not constrained by the current list.

DELIVERABLES AND REQUIREMENTS FOR AWARDEES

- Participation in the DOE Hydrogen Program Annual Merit and Peer Review.
- Quarterly technical and cost reports will be submitted within 30 days of the end of the quarter.
- An annual technical report will be submitted for inclusion in the DOE Hydrogen Program Annual Progress Report.
- Regular presentations to and/or participation in FreedomCAR Partnership Codes, and Standards Tech Team meetings may be required.

SPECIAL ELIGIBILITY REQUIREMENTS

Organizations in a position of conflict with regard to codes and standards development for hydrogen and fuel cell technologies, either real or apparent, will not be eligible as the prime applicant for an award under this FOA. This includes, but is not limited to, CDO/SDO organizations, hydrogen/fuel cell companies, and hydrogen/fuel cell developers. Successful applicants will be required to complete the Conflict of Interest Disclosure (see attachment "COICERT C&S.pdf" in Part IV.D.) within 30 days of notification of selection. Applicants who fail to complete this disclosure within the prescribed time will be eliminated from further consideration.

PART II – AWARD INFORMATION

A. TYPE OF AWARD INSTRUMENT.

- DOE anticipates awarding cooperative agreements under this program announcement (See Section VI.B.2 Statement of Substantial Involvement)
- **B. ESTIMATED FUNDING.** Approximately \$6,000,000 is expected to be available for new awards under this announcement.

C. MAXIMUM AND MINIMUM AWARD SIZE.

- Ceiling (i.e., the maximum amount for an individual award made under this announcement):
 \$6,000,000
- Floor (i.e., the minimum amount for an individual award made under this announcement):

 None

D. EXPECTED NUMBER OF AWARDS.

• DOE anticipates making one award under this announcement.

E. ANTICIPATED AWARD SIZE.

DOE expects to fund up to \$1,200,000 per year for up to 5 years. If requested levels are higher, applicants must justify need for more funds consistent with the ceiling on individual awards described in paragraph C above.

PART III - ELIGIBILITY INFORMATION

A. ELIGIBLE APPLICANTS.

 All types of domestic applicants are eligible to apply, except other Federal agencies, Federally Funded Research and Development Center (FFRDC) Contractors, and nonprofit organizations described in section 501(c)(4) of the Internal Revenue Code of 1986 that engaged in lobbying activities after December 31, 1995.

B. COST SHARING

 The cost share must be at least 20% of the total allowable costs (i.e., the sum of the Government share, including FFRDC contractor costs if applicable, and the recipient share of allowable costs equals the total allowable costs of the projects) for all sub-awards and must come from non-Federal sources. (See 10 CFR part 600 for the applicable cost sharing requirements.)

C. OTHER ELIGIBILITY REQUIREMENTS.

Organizations in a position of conflict with regard to codes and standards development for hydrogen and fuel cell technologies, either real or apparent, will not be eligible as the prime applicant for an award under this FOA. This includes, but is not limited to, CDO/SDO organizations, hydrogen/fuel cell companies, and hydrogen/fuel cell developers.

PART IV - APPLICATION AND SUBMISSION INFORMATION

A. ADDRESS TO REQUEST APPLICATION PACKAGE.

Application forms and instructions are available at Grants.gov. To access these materials, go to http://www.grants.gov, select "Apply for Grants," and then select "Download Application Package." Enter the CFDA and/or the funding opportunity number located on the cover of this announcement and then follow the prompts to download the application package. NOTE: You will not be able to download the Application Package unless you have installed PureEdge Viewer (See: https://www.grants.gov/DownloadViewer).

B. LETTER OF INTENT AND PRE-APPLICATION.

- 1. Letter of Intent.
- Letters of Intent are not required.
- 2. Pre-application.
- Pre-applications are not required.

C. CONTENT AND FORM OF APPLICATION - SF 424

You must complete the mandatory forms and any applicable optional forms (e.g., SF-LLL-Disclosure of Lobbying Activities) in accordance with the instructions on the forms and the additional instructions below. Files that are attached to the forms must be in Adobe Portable Document Format (PDF) unless otherwise specified in this announcement.

1. SF 424 - Application for Federal Assistance.

<u>Complete this form first to populate data in other forms</u>. Complete all required fields in accordance with the pop-up instructions on the form. To activate the instructions, turn on the "Help Mode" (Icon with the pointer and question mark at the top of the form).

2. Other Attachments Form

Submit the following files with your application and attach them to the Other Attachments Form. Click on "Add Mandatory Other Attachment" to attach the Project Narrative. Click on "Add Optional Other Attachment," to attach the other files.

Project Narrative File - Mandatory Other Attachment

The project narrative must not exceed 20 pages, including charts, graphs, maps, photographs, and other pictorial presentations, when printed using standard 8.5" by 11" paper with 1 inch margins (top, bottom, left, and right). EVALUATORS WILL REVIEW ONLY THE NUMBER OF PAGES SPECIFIED IN THE PRECEDING SENTENCE. The font must not be smaller than 11 point. Do not include any Internet addresses (URLs) that provide information necessary to review the application. See Part VIII.D for instructions on how to mark proprietary application information. Save the information in a single file named "Project.pdf," and click on "Add Mandatory Other Attachment" to attach.

The project narrative must include:

- <u>Project Objectives</u>. This section should provide a clear, concise statement of the specific objectives/aims of the proposed project.
- Merit Review Criterion Discussion. The section should be formatted to address each
 of the merit review criterion and sub-criterion listed in Section V. A. Provide sufficient
 information so that reviewers will be able to evaluate the application in accordance
 with these merit review criteria. DOE/NNSA WILL EVALUATE AND CONSIDER
 ONLY THOSE APPLICATIONS THAT ADDRESS SEPARATELY EACH OF THE
 MERIT REVIEW CRITERION AND SUB-CRITERION.
- <u>Project Timetable</u>: This section should outline as a function of time, year by year, all
 the important activities or phases of the project, including any activities planned
 beyond the project period. Successful applicants must use this project timetable to
 report progress.
- <u>Evaluation Phase</u>: This section must include a plan and metrics to be used to assess the success of the project.

Project Summary/Abstract File

The project summary/abstract must contain a summary of the proposed activity suitable for dissemination to the public. It should be a self-contained document that identifies the name of the applicant, the project director/principal investigator(s), the project title, the objectives of the project, a description of the project, including methods to be employed, the potential impact of the project (i.e., benefits, outcomes), and major participants (for collaborative projects). This document must not include any proprietary or sensitive business information as the Department may make it available to the public. The project summary must not exceed 1 page when printed using standard 8.5" by 11" paper with 1" margins (top, bottom, left and right) with font not smaller than 11 point. Save this information in a file named "Summary.pdf," and click on "Add Optional Other Attachment" to attach.

SF 424 A Excel, Budget Information – Non-Construction Programs File

You must provide a separate budget for each year of support requested and a cumulative budget for the total project period. Use the SF 424 A Excel, "Budget Information – Non Construction Programs" form on the Applicant and Recipient Page at http://grants.pr.doe.gov. You may request funds under any of the Object Class Categories as long as the item and amount are necessary to perform the proposed work, meet all the criteria for allowability under the applicable Federal cost principles, and are not prohibited by the funding restrictions in this announcement (See PART IV, G). Save the information in a single file named "SF424A.xls," and click on "Add Optional Other Attachment" to attach.

Budget Justification File

You must justify the costs proposed in each Object Class Category/Cost Classification category (e.g., identify key persons and personnel categories and the estimated costs for each person or category; provide a list of equipment and cost of each item; identify proposed subaward/consultant work and cost of each subaward/consultant; describe

purpose of proposed travel, number of travelers and number of travel days; list general categories of supplies and amount for each category; and provide any other information you wish to support your budget). Provide the name of your cognizant/oversight agency, if you have one, and the name and phone number of the individual responsible for negotiating your indirect rates. If cost sharing is required, provide an explanation of the source, nature, amount and availability of any proposed cost sharing. Save this information in a single file named "Budget.pdf," and click on "Add Optional Other Attachment" to attach.

Certifications/Assurances for Use with SF 424 FileYou must complete and provide the "Certifications and Assurances for Use with SF 424" form on the Applicant and Recipient Page at http://grants.pr.doe.gov. Submission of an electronic application through Grants.gov constitutes the submission of a signed document. Type the name of the person reponsible for providing the certifications and assurances in the signature block and save as a pdf file. Do not submit a scanned copy of the form. Name the file "Certs.pdf," and click on "Add Optional Other Attachment" to attach.

Biographical Sketch File

Provide a biographical sketch for each key person proposed, including subawardees and consultants if they meet the definition of key person. A key person is any individual who contributes in a substantive, measurable way to the execution of the project. Save all biographical sketches in a <u>single</u> file named "bio.pdf" and click on "Add Optional Other Attachment" to attach. The biographical information for each person must not exceed 2 pages when printed on 8.5" by 11" paper with 1 inch margins (top, bottom, left, and right) with font not smaller than 11 point and must include:

<u>Education and Training</u>. Undergraduate, graduate and postdoctoral training, provide institution, major/area, degree and year.

<u>Professional Experience</u>: Beginning with the current position list, in chronological order, professional/academic positions with a brief description.

<u>Publications</u>. Provide a list of up to10 publications most closely related to the proposed project. For each publication, identify the names of all authors (in the same sequence in which they appear in the publication), the article title, book or journal title, volume number, page numbers, year of publication, and website address if available electronically.

Patents, copyrights and software systems developed may be provided in addition to or substituted for publications.

<u>Synergistic Activities</u>. List no more than 5 professional and scholarly activities related to the effort proposed.

Reference Checks on Federal Awards

Provide the information below for at least five, and no more than eight, federal awards that were received by either your organization or principal investigator in the last five years for technologies relevant to this announcement, with award values in excess of

\$500,000. If applicant has fewer than five awards meeting this criteria, first submit those that meet the criteria, and for the remainder, provide information for federal awards over \$250,000 received by either the organization or principal investigator for all technologies in the last five years.

The following information is required for each federal award: 1) AWARD TITLE; 2) INSTRUMENT NUMBER; 3) TOTAL AWARD VALUE (\$); 4) PERIOD OF PERFORMANCE (Dates); 5) APPLICANT'S PROJECT DIRECTOR (Name, Address, Telephone Number [including area code]); and 6) FEDERAL AGENCY MAKING AWARD (Agency Name, Federal Program Manager, Federal Program Manager's Address, Federal Program Manager's Telephone Number [including area code]).

3. SF-LLL Disclosure of Lobbying Activities If applicable, complete SF- LLL. Applicability: If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the grant/cooperative agreement, you must complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying."

Summary of Required Forms/Files

Your application must include the following documents:

Name of Document	Format	File Name
Application for Federal Assistance – SF424	PureEdge Form	N/A
Other Attachments Form: Attach the following files to this form:	PureEdge Form	N/A
Project Narrative File	PDF	Project.pdf
Project Summary/Abstract File	PDF	Summary.pdf
SF 424A File - Budget Information for Non-Construction Programs	Excel	SF424A.xls
Budget Justification File	PDF	Budget.pdf
Certifications/Assurances File	PDF	Certs.pdf
Biographical Sketch File	PDF	Bio.pdf
Reference Checks on Federal Awards	PDF	RefChecks.pdf
SF-LLL Disclosure of Lobbying Activities, if applicable.	PureEdge Form	N/A

D. SUBMISSIONS FROM SUCCESSFUL APPLICANTS.

Successful applicants must submit the information listed below not later than 90 calendar days after notification of selection, except as noted. Applicants who fail to provide the information within the required time period may be eliminated from further consideration.

Subaward Budget File(s)

You must provide a separate budget (i.e., budget for each budget year and a cumulative budget) for each subawardee that is expected to perform work estimated to be more than \$100,000 or 50 percent of the total work effort (which ever is less). Use the SF 424 A Excel for Non Construction Programs or the SF 424 C Excel for Construction Programs. These forms are found on the Applicant and Recipient Page at http://grants.pr.doe.gov. Save each Subaward budget in a separate file. Use up to 10 letters of the subawardee's name (plus .xls) as the file name (e.g., ucla.xls or energyres.xls), and click on "Add Optional Other Attachment" to attach.

Commitment Letters from Third Parties Contributing to Cost Sharing

If a third party, (i.e., a party other than the organization submitting the application) proposes to provide all or part of the required cost sharing, the applicant must provide a letter from the third party stating that it is committed to providing a specific minimum dollar amount of cost sharing. The letter should also identify the proposed cost sharing (e.g., cash, services, and/or property) to be contributed. Letters must be signed by the person authorized to commit the expenditure of funds by the entity. Provide this information in a single file named "CLTP.pdf" and click on "Add Optional Other Attachment" to attach.

Conflict of Interest Disclosure

Organizations in a position of conflict with regard to codes and standards development for hydrogen and fuel cell technologies, either real or apparent, will not be eligible as the prime applicant for an award under this FOA. This includes, but is not limited to, CDO/SDO organizations, hydrogen/fuel cell companies, and hydrogen/fuel cell developers. Successful applicants will be required to complete the Conflict of Interest Disclosure within 30 days of notification of selection. This disclosure must be signed by a person authorized to do so. Provide this information in a single file named "COI-CERT C&S.pdf" and click on "Add Optional Other Attachment" to attach. Applicants who fail to complete this disclosure within the prescribed time will be eliminated from further consideration.

What to submit	Required Form or Format
Designated Responsible Employee for	No special format.
complying with national policies prohibiting	
discrimination. Provide organization name, project	E-mail information to:
title, DOE application tracking number and the	codes@go.doe.gov
name, title, and phone number of Designated	
Responsible Employee for complying with national	
policies prohibiting discrimination (See 10 CFR	
1040.5).	

Environmental Questionnaire. You must complete and submit an environmental questionnaire.	This form should be completed on line at https://www.eere-pmc.energy.gov/NEPA.asp
Commitment Letters. You must provide signed Commitment Letters from Third Parties Contributing to Cost Sharing.	CLTP.pdf
Subaward Budget Files. You must provide a separate multi-year budget for each subaward (see instructions).	Excel
Conflict of Interest Disclosure The certification of conflict of interest must be completed and submitted within 30 days of notification of selection.	COI-CERT C&S.pdf

E. SUBMISSION DATES AND TIMES.

1. Pre-application Due Date.

Pre-applications are not required.

2. Application Due Date.

Applications must be received by March 10, 2006, not later than 6:00 PM Eastern Time. You are encouraged to transmit your application well before the deadline. APPLICATIONS RECEIVED AFTER THE DEADLINE WILL NOT BE REVIEWED OR CONSIDERED FOR AWARD.

F. INTERGOVERNMENTAL REVIEW

 This program is not subject to Executive Order 12372 – Intergovernmental Review of Federal Programs.

G. FUNDING RESTRICTIONS.

<u>Cost Principles</u>. Costs must be allowable in accordance with the applicable Federal cost principles referenced in 10 CFR part 600.

<u>Pre-award Costs.</u> Recipients may charge to an award resulting from this announcement pre-award costs that were incurred within the ninety (90) calendar day period immediately preceding the effective date of the award, if the costs are allowable in accordance with the applicable Federal cost principles referenced in 10 CFR part 600. Recipients must obtain the prior approval of the contracting officer for any pre-award costs that are for periods greater than this 90 day calendar period.

Pre-award costs are incurred at the applicant's risk. DOE is under no obligation to

reimburse such costs if for any reason the applicant does not receive an award or if the award is made for a lesser amount than the applicant expected.

H. OTHER SUBMISSION AND REGISTRATION REQUIREMENTS

1. Where to Submit.

APPLICATIONS MUST BE SUBMITTED THROUGH GRANTS.GOV TO BE CONSIDERED FOR AWARD. Submit electronic applications through the "Apply for Grants" function at www.Grants.gov. If you have problems completing the registration process or submitting your application, call Grants.gov at 1-800-518-4726 or send an email to support@grants.gov.

2. Registration Process.

You must COMPLETE the one-time registration process (<u>all steps</u>) before you can submit your first application through Grants.gov (See <u>www.grants.gov/GetStarted</u>). We recommend that you start this process at least two weeks before the application due date. It may take 14 days or more to complete the entire process. Use the Grants.gov Organizational Registration Checklists at http://www.grants.gov/assets/OrganizationRegCheck.doc to guide you through the process. IMPORTANT: During the CCR registration process, you will be asked to designate an E-Business Point of Contact (EBIZ POC). The EBIZ POC must obtain a special password called "Marketing Partner identification Number" (MPIN).

PART V - APPLICATION REVIEW INFORMATION

A. CRITERIA

1. Initial Review Criteria.

Prior to a comprehensive merit evaluation, DOE will perform an initial review to
determine that (1) the applicant is eligible for an award; (2) the information required by
the announcement has been submitted; (3) all mandatory requirements are satisfied;
and (4) the proposed project is responsive to the objectives of the funding opportunity
announcement.

2. Merit Review Criteria.

The following criteria will be used to evaluate Applications:

Criterion 1: Project Description and Implementation Plan Weight: [35%]

- Perceived value of the project in advancing hydrogen codes and standards development and in enhancing public confidence and acceptability of hydrogen energy systems.
- Extent to which the major technical and resource issues, and risk areas related to the proposed approach are identified and discussed, with viable plans for resolution.
- Level of technical understanding of the codes and standards arena, including specific activities related to hydrogen.
- Understanding of regulatory requirements and issues that will impact commercialization of hydrogen systems.
- · Likelihood of overall technical success.
- Costs for management and facilitation activities are minimized and meet a minimum acceptable level by not exceeding 20% of the total project costs.

Criterion 2: Work Plan/Statement of Objectives Weight: [30%]

- Adequacy and completeness of the statement of objectives.
- Clarity and completeness of the description of each activity/task necessary to complete the project.
- Likelihood the work plan will lead to achieving the primary objective of the announcement.
- Appropriateness of work breakdown structure, and performance cost and schedule, including milestones and decision points.

Criterion 3: Roles, Responsibilities, and Capabilities Weight: [35%]

- Soundness of the project management concept with respect to proposed tasks and organizational structure to achieve project objectives.
- Capabilities of the Applicant and Participants to comprehensively address all aspects of the proposed project
- Adequacy of resources to accommodate the proposed project

• Applicability of the qualifications and experience of key personnel.

3. Other Selection Factors.

- The selection official will consider the following program policy factors in the selection process:
 - Past Performance
 - Cost share above minimum requirement

B. REVIEW AND SELECTION PROCESS.

1. Merit Review.

Applications that pass the initial review will be subjected to a merit review in accordance
with the guidance provided in the "Department of Energy Merit Review Guide for
Financial Assistance and Unsolicited Proposals." This guide is available under Financial
Assistance, Regulations and Guidance at http://professionals.pr.doe.gov/ma5/ma-5web.nsf/?Open.

2. Selection.

- The Selection Official will consider the merit review recommendation, program policy factors, and the amount of funds available.
- DOE reserves the right to conduct an independent third party review of financial capability for applicants that are selected for negotiation of award (including personal credit information of the principal(s) of a small business if there is insufficient information to determine financial capability of the organization).

3. Discussions and Award.

• The Government may enter into discussions with a selected applicant for any reason deemed necessary, including but not limited to: (1) the budget is not appropriate or reasonable for the requirement; (2) only a portion of the application is selected for award; (3) the Government needs additional information to determine that the recipient is capable of complying with the requirements in 10 CFR part 600; and/or (4) special terms and conditions are required. Failure to resolve satisfactorily the issues identified by the Government will preclude award to the applicant.

C. ANTICIPATED NOTICE OF SELECTION AND AWARD DATES.

 DOE anticipates notifying applicants selected for award by June 1, 2006, and making awards by September 1, 2006.

PART VI - AWARD ADMINISTRATION INFORMATION

A. AWARD NOTICES.

1. Notice of Selection.

 DOE will notify applicants selected for award. This notice of selection is not an authorization to begin performance. (See Part IV.G with respect to the allowability of pre-award costs.)

Organizations whose applications have not been selected will be advised as promptly as possible. This notice will explain why the application was not selected.

2. Notice of Award.

A Notice of Financial Assistance Award issued by the contracting officer is the
authorizing award document. It normally includes, either as an attachment or by
reference: 1. Special Terms and Conditions; 2. Applicable program regulations, if any;
3. Application as approved by DOE; 4. DOE assistance regulations at 10 CFR part 600,
or, for Federal Demonstration Partnership (FDP) institutions, the FDP terms and
conditions; 5. National Policy Assurances To Be Incorporated As Award Terms; 6.
Budget Summary; and 7. Federal Assistance Reporting Checklist, which identifies the
reporting requirements.

B. ADMINISTRATIVE AND NATIONAL POLICY REQUIREMENTS.

1. Administrative Requirements.

The administrative requirements for DOE grants and cooperative agreements are contained in 10 CFR part 600 (See: http://ecfr.gpoaccess.gov), except for grants made to Federal Demonstration Partnership (FDP) institutions. The FDP terms and conditions and DOE FDP agency specific terms and conditions are located on the National Science Foundation web site at http://www.nsf.gov/awards/managing/fed_dem_part.jsp.

2. Special Terms and Conditions and National Policy Requirements.

Special Terms and Conditions and National Policy Requirements.

The DOE Special Terms and Conditions for Use in Most Grants and Cooperative Agreements are located at http://grants.pr.doe.gov. The National Policy Assurances To Be Incorporated As Award Terms are located at http://grants.pr.doe.gov.

Intellectual Property Provisions.

The standard DOE financial assistance intellectual property provisions applicable to the various types of recipients are located at http://www.gc.doe.gov/techtrans/sipp matrix.html.

Statement of Substantial Involvement.

DOE will be actively involved in reviewing all work plans, including the selection of

subrecipients. DOE will work with the recipient to develop codes and standards priorities. DOE will also have substantial involvement in coordinating recipient activities with the related activities currently taking place at the National Laboratories and other federal agencies.

C. REPORTING.

Reporting requirements are identified on the Federal Assistance Reporting Checklist, DOE F 4600.2, attached to the award agreement. See http://www.eere.energy.gov/golden/PDFs/4600-2.pdf for the proposed Checklist for this program.

PART VII - QUESTIONS/AGENCY CONTACTS

A. QUESTIONS

Questions regarding the content of the announcement must be submitted through the "Submit Question" feature of the DOE Industry Interactive Procurement System (IIPS) at http://e-center.doe.gov. Locate the program announcement on IIPS and then click on the "Submit Question" button. Enter required information. You will receive an electronic notification that your question has been answered. DOE/NNSA will try to respond to a question within 3 business days, unless a similar question and answer have already been posted on the website.

Questions relating to the registration process, system requirements, how an application form works, or the submittal process must be directed to Grants.gov at 1-800-518-4726 or support@grants.gov. DOE/NNSA cannot answer these questions.

B. AGENCY CONTACT

Name: Melissa Wise, Grants and Agreements Specialist

E-mail: <u>codes@go.doe.gov</u> FAX: (303) 275-4754

PART VIII - OTHER INFORMATION

A. MODIFICATIONS.

Notices of any modifications to this announcement will be posted on Grants.gov and the DOE Industry Interactive Procurement System (IIPS). You can receive an email when a modification or an announcement message is posted by joining the mailing list for this announcement through the link in IIPS. When you download the application at Grants.gov, you can also register to receive notifications of changes through Grants.gov.

B. GOVERNMENT RIGHT TO REJECT OR NEGOTIATE.

DOE reserves the right, without qualification, to reject any or all applications received in response to this announcement and to select any application, in whole or in part, as a basis for negotiation and/or award.

C. COMMITMENT OF PUBLIC FUNDS.

The Contracting Officer is the only individual who can make awards or commit the Government to the expenditure of public funds. A commitment by other than the Contracting Officer, either explicit or implied, is invalid.

D. PROPRIETARY APPLICATION INFORMATION.

Patentable ideas, trade secrets, proprietary or confidentional commercial or financial information, disclosure of which may harm the applicant, should be included in an application only when such information is necessary to convey an understanding of the proposed project. The use and disclosure of such data may be restricted, provided the applicant includes the following legend on the first page of the project narrative and specifies the pages of the application which are to be restricted:

"The data contained in pages _____ of this application have been submitted in confidence and contain trade secrets or proprietary information, and such data shall be used or disclosed only for evaluation purposes, provided that if this applicant receives an award as a result of or in connection with the submission of this application, DOE shall have the right to use or disclose the data herein to the extent provided in the award. This restriction does not limit the government's right to use or disclose data obtained without restriction from any source, including the applicant."

To protect such data, each line or paragraph on the pages containing such data must be specifically identified and marked with a legend similar to the following:

"The following contains proprietary information that (name of applicant) requests not be released to persons outside the Government, except for purposes of review and evaluation."

E. EVALUATION AND ADMINISTRATION BY NON-FEDERAL PERSONNEL.

In conducting the merit review evaluation, the Government may seek the advice of qualified non-Federal personnel as reviewers. The Government may also use non-Federal personnel to conduct routine, nondiscretionary administrative activities. The applicant, by submitting its application, consents to the use of non-Federal reviewers/administrators. Non-Federal reviewers must sign conflict of interest and non-disclosure agreements prior to reviewing an application. Non-Federal personnel conducting administrative activities must sign a non-disclosure agreement.

F. INTELLECTUAL PROPERTY DEVELOPED UNDER THIS PROGRAM

<u>Patent Rights.</u> The government will have certain statutory rights in an invention that is conceived or first actually reduced to practice under a DOE award. 42 U.S.C. 5908 provides that title to such inventions vests in the United States, except where 35 U.S.C. 202 provides otherwise for nonprofit organizations or small business firms. However, the Secretary of Energy may waive all or any part of the rights of the United States subject to certain conditions. (See "Notice of Right to Request Patent Waiver" in paragraph G below.)

Rights in Technical Data. Normally, the government has unlimited rights in technical data created under a DOE agreement. Delivery or third party licensing of proprietary software or data developed solely at private expense will not normally be required except as specifically negotiated in a particular agreement to satisfy DOE's own needs or to insure the commercialization of technology developed under a DOE agreement. Special Protected Data Statutes. This program is covered by a special protected data statute. The provisions of the statute provide for the protection from public disclosure, for a period of up to 5 years from the development of the information, of data that would be trade secret, or commercial or financial information that is privileged or confidential, if the information had been obtained from a non-Federal party. Generally, the provision entitled, Rights in Data - Programs Covered Under Special Protected Data Statutes. (10 CFR 600 Appendix A to Subpart D), would apply to an award made under this announcement. This provision will identify data or categories of data first produced in the performance of the award that will be made available to the public, notwithstanding the statutory authority to withhold data from public dissemination, and will also identify data that will be recognized by the parties as protected data.

G. NOTICE OF RIGHT TO REQUEST PATENT WAIVER.

Applicants may request a waiver of all or any part of the rights of the United States in inventions conceived or first actually reduced to practice in performance of an agreement as a result of this announcement, in advance of or within 30 days after the effective date of the award. Even if such advance waiver is not requested or the request is denied, the recipient will have a continuing right under the award to request a waiver of the rights of the United States in identified inventions, i.e., individual inventions conceived or first actually reduced to practice in performance of the award. Any patent waiver that may be granted is subject to certain terms and conditions in 10 CFR 784.

Domestic small businesses and domestic nonprofit organizations will receive the patent rights clause at 37 CFR 401.14, i.e., the implementation of the Bayh-Dole Act. This clause permits domestic small business and domestic nonprofit organizations to retain title to subject inventions. Therefore, small businesses and nonprofit organizations do not need to request a waiver.

H. NOTICE REGARDING ELIGIBLE/INELIGIBLE ACTIVITIES.

Eligible activities under this program include those which describe and promote the understanding of scientific and technical aspects of specific energy technologies, but not those which encourage or support political activities such as the collection and dissemination of information related to potential, planned or pending legislation.

Version: 8/12/05 - Grants.gov applications

REFERENCE MATERIAL

Part IV.C. CONTENT AND FORM OF APPLICATION - SF 424

Budget Justification File – Applicants should complete the Cost Reasonableness Determination, PF20 form, or equivalent, to justify the costs proposed in each Object Class Category/Cost Classification category. <u>Click here for PF20 form.</u>

APPENDIX A - DEFINITIONS

- "Amendment" means a revision to a solicitation.
- "Applicant" means the legal entity or individual signing the Application. This entity or individual may be one organization or a single entity representing a group of organizations (such as a Consortium) that has chosen to submit a single Application in response to a solicitation.
- "**Application**" means the documentation submitted in response to a solicitation. NOTE: Application is referred to as Proposal in IIPS.
- "Authorized Organization Representative (AOR)" is the person with assigned privileges who is authorized to submit grant applications through Grants.gov on behalf of an organization. The privileges are assigned by the organization's E-Business Point of Contact designated in the CCR.
- "Award" means the written documentation executed by a DOE Contracting Officer, after an Applicant is selected, which contains the negotiated terms and conditions for providing Financial Assistance to the Applicant. A Financial Assistance Award may be either a Grant or a Cooperative Agreement.
- "Budget" means the cost expenditure plan submitted in the Application, including both the DOE contribution and the Applicant Cost Share.
- "Consortium (plural consortia)" means the group of organizations or individuals that have chosen to submit a single Application in response to a solicitation.
- "Contracting Officer" means the DOE official authorized to execute Awards on behalf of DOE and who is responsible for the business management and non-program aspects of the Financial Assistance process.
- "Cooperative Agreement" means a Financial Assistance instrument used by DOE to transfer money or property when the principal purpose of the transaction is to accomplish a public purpose of support or stimulation authorized by Federal statute, and Substantial Involvement (see definition below) is anticipated between DOE and the Applicant during the performance of the contemplated activity.
- "Cost Sharing" means the respective share of Total Project Costs to be contributed by the Applicant and by DOE. The percentage of Applicant Cost Share is to be applied to the Total Project Cost (i.e., the sum of Applicant plus DOE Cost Shares) rather than to the DOE contribution alone.
- "Central Contractor Registry (CCR)" is the primary database which collects, validates, stores and disseminates data in support of agency missions. Funding Opportunity Announcements which require application submission through Grants.gov require that the organization first be registered in the CCR at http://www.grants.gov/CCRRegister.
- "Credential Provider" is an organization that validates the electronic identity of an individual through electronic credentials, PINS, and passwords for Grants.gov. Funding Opportunity Announcements which require application submission through Grants.gov require that the individual applying on behalf of an organization first be registered with the Credential Provider at http://www.grants.gov/CredentialProvider.

- "Data Universal Numbering System (DUNS) Number" is a unique nine-character identification number issued by Dun and Bradstreet (D&B). Organizations must have a DUNS number prior to registering in the CCR. Call 1-866-705-5711 to receive one free of charge. http://www.grants.gov/RequestaDUNS
- "E-Business Point of Contact (POC)" is the individual who is designated as the Electronic Business Point of Contact in the CCR registration. This person is the sole authority of the organization with the capability of designating or revoking an individual's ability to submit grant applications on behalf of their organization through Grants.gov.

 http://www.grants.gov/assets/EBIZRegCheck.doc
- **"E-Find"** is a Grants.gov webpage where you can search for Federal Funding Opportunities in FedGrants. http://www.grants.gov/FindGrantOpportunities?search=basic
- "Fedgrants.gov" is the official website where you can locate Federal Funding Opportunities http://fedgrants.gov/Applicants/index.html.
- "Financial Assistance" means the transfer of money or property to an Applicant or Participant to accomplish a public purpose of support authorized by Federal statute through Grants or Cooperative Agreements and sub-awards. For DOE, it does not include direct loans, loan guarantees, price guarantees, purchase agreements, Cooperative Research and Development Agreements (CRADAs), or any other type of financial incentive instrument.
- "Federally Funded Research and Development Center (FFRDC)" means a research laboratory as defined by Federal Acquisition Regulation 35.017.
- "Funding Opportunity Announcement (FOA)" is a publicly available document by which a Federal agency makes known its intentions to award discretionary grants or cooperative agreements, usually as a result of competition for funds. Funding opportunity announcements may be known as program announcements, notices of funding availability, solicitations, or other names depending on the agency and type of program.
- **"Grant"** means a Financial Assistance instrument used by DOE to transfer money or property when the principal purpose of the transaction is to accomplish a public purpose of support or stimulation authorized by Federal statute, and no Substantial Involvement is anticipated between DOE and the Applicant during the performance of the contemplated activity.
- "Grants.gov" is the "storefront" web portal which allows organizations to electronically find and apply for competitive grant opportunities from all Federal grant-making agencies. Grants.gov is THE single access point for over 900 grant programs offered by the 26 Federal grant-making agencies. http://www.grants.gov
- "Industry Interactive Procurement System (IIPS)" is DOE's Internet-based procurement system which allows access to DOE's business opportunities database, allows user registration and submittal of Applications: http://e-center.doe.gov/.
- "**Key Personnel**" means the individuals who will have significant roles in planning and implementing the proposed Project on the part of the Applicant and Participants, including FFRDCs.
- "Marketing Partner Identification Number (MPIN)" is a very important password designated by your organization when registering in CCR. The E-Business Point of Contact will need the

MPIN to login to Grants.gov to assign privileges to the individual(s) authorized to submit applications on behalf of your organization. The MPIN must have 9 digits containing at least one alpha character (must be in capital letters) and one number (no spaces or special characters permitted).

- "Participant" for purposes of this Solicitation only, means any entity, except the Applicant substantially involved in a Consortium, or other business arrangement (including all parties to the Application at any tier), responding to the Solicitation.
- "**Project**" means the set of activities described in an Application, State plan, or other document that is approved by DOE for Financial Assistance (whether such Financial Assistance represents all or only a portion of the support necessary to carry out those activities).
- "**Proposal**" is the term used in IIPS meaning the documentation submitted in response to a solicitation. Also see Application.
- "Pure Edge Viewer" is a small, free program which allows you to access, complete and submit applications electronically and securely through Grants.gov. You will not be able to access, complete, or submit an application through Grants.gov, unless the Pure Edge Viewer is downloaded on your computer. http://www.grants.gov/DownloadViewer.
- "Recipient" means the organization, individual, or other entity that receives a Financial Assistance Award from DOE, is financially accountable for the use of any DOE funds or property provided for the performance of the Project, and is legally responsible for carrying out the terms and condition of the award.
- "**Selection**" means the determination by the DOE Selection Official that negotiations take place for certain Projects with the intent of awarding a Financial Assistance instrument.
- **"Selection Official"** means the DOE official designated to select Applications for negotiation toward Award under a subject solicitation.
- "Substantial Involvement" means involvement on the part of the Government. DOE's involvement may include shared responsibility for the performance of the Project; providing technical assistance or guidance which the Applicant is to follow; and the right to intervene in the conduct or performance of the Project. Such involvement will be negotiated with each Applicant prior to signing any agreement.
- "Total Project Cost" means all the funds to complete the effort proposed by the Applicant, including DOE funds (including direct funding of any FFRDC) plus all other funds that will be committed by the Applicant as Cost Sharing.

APPENDIX B - GRANTS.GOV

Prior to submitting an application in Grants.gov, you must COMPLETE the <u>one-time registration process</u> (all steps) at <u>www.grants.gov/GetStarted</u>, which may take up to 14 days due to coordination of steps. Therefore, <u>you are highly encouraged to register as soon as possible</u>. The following points are provided to assist you in the registration process.

Please read through the information below prior to starting the registration process. This information will assist you in making the registration process as seamless as possible. It is important to follow the steps in the order outlined below, allowing the time specified between each of the steps.

Request a DUNS Number - Follow the instructions at http://www.grants.gov/RequestaDUNS. It is highly recommended that the DUNS number be requested by telephone at 1-866-705-5711, which will take about 10 minutes. There is no charge. Once the telephone registration is completed, you must allow 24 hours before attempting to use the DUNS number in the next step of registering with the Central Contractor Registry (CCR).

Register with the Central Contractor Registry (CCR) - Go to

http://www.grants.gov/CCRRegister and click on the "Help" button to locate the tutorial. Print the tutorial for reference and follow the instructions in the link above. We also recommend that you print and complete the 7-page CCR Worksheet at http://www.ccr.gov/CCRRegTemplate.pdf prior to registration, as it may take up to 3 days to gather the information needed for the worksheet. You are required to designate an Electronic Business Point of Contact (E-Business POC) and a Marketing Partner Identification Number (MPIN) in the CCR. It is important to provide the MPIN to the E-Business POC. For assistance with the CCR, contact the Assistance Center at 1-888-227-2423 or at CCR@dlis.dla.mil. You may also access the CCR Handbook at http://www.ccr.gov/handbook.cfm.

Install the PureEdge Viewer – Authorized Organization Representatives (AORs) are the individuals that will be given the authority to submit applications on behalf of their organization. All AORs must download and install the PureEdge Viewer on their computer by following the instructions at http://www.grants.gov/DownloadViewer. This small, free program will allow AORs to access, complete, and submit applications electronically and securely. If you encounter any problems, contact customer Support at 1-800-518-4726 or support@grants.gov. (This step may be done earlier in the process).

Register with the Credential Provider - AORs must register with the Credential Provider.
AORs must wait a minimum of 3 business days for the CCR to become active before attempting to register with the credential provider. Go to http://www.grants.gov/CredentialProvider and click on the "Help" button to locate the tutorial. Print the tutorial for reference and follow the instructions in the link above. Record the user ID and password that you enter because you will need this information to register with Grants.gov as an AOR. AORs must wait approximately 20 minutes after completing the

Credential Provider registration before going to the next step of registering with Grants.gov. If you encounter any problems, the Credential Provider may be reached at 800-386-6820 or via email at eauthhelp@orc.com or pkihelp@orc.com.

Register with Grants.gov - AORs must register with Grants.gov, utilizing the User ID and password obtained from registering with the Credential Provider. Go to https://apply.grants.gov/GrantsgovRegister# and click on the "Help" button to locate the tutorial. Print the tutorial for reference and follow the instructions in the link above. After you have completed the Grants.gov registration process, you will receive a confirmation that indicates whether your registration was successful.

After AORs successfully register with Grants.gov, an email will be generated to the E-Business Point of Contact (POC) that was designated in the CCR, informing them that an individual from their organization has registered in Grants.gov to be an AOR, capable of submitting applications in Grants.gov on behalf of their organization. (Further Information regarding the Electronic Business POC is provided below.) AORs will not be able to submit an application until they receive authorization from the E-Business POC. If the AOR does not receive an email authorization from the E-Business POC within 1 business day, contact the E-Business POC. If you encounter any problems, contact customer Support at 1-800-518-4726 or support@grants.gov

Designate Privileges to the AOR - The Electronic Business POC is the sole authority of the organization with the capability of designating, or revoking, an individual's ability to submit grant applications on behalf of their organization through Grants.gov. Once the E-Business POC receives the email notification from the individual wishing to be recognized as an AOR, the E-Business POC should go to https://apply.grants.gov/AorMgrGetID, click on the "Help" button to locate the tutorial, print the tutorial for reference, then log on utilizing the DUNS Number and the Marketing Partner Identification Number (MPIN) that was designated by their organization when registering in the CCR and follow the instructions for designating privileges to the AOR. If you cannot locate the MPIN, contact the CCR Assistance Center at 1-888-227-2423 or at <a href="https://ccr.doi.org/ccr.nlm.new/ccr.

Submit Application in Grants.gov - Once the E-Business POC has authorized privileges to the AOR, the AOR will receive an email notification that they have been given authorization. The AOR may then proceed to submit an application in Grants.gov (see the "Install the PureEdge Viewer" step above). For application instructions, go to http://www.grants.gov/Apply. The training demonstration at http://www.grants.gov/CompleteApplication will assist AORs in the application process.

Remember that you must open and complete the Application For Federal Assistance (SF-424) first, as this form will automatically populate data fields in other forms. If you encounter any problems, contact customer Support at 1-800-518-4726 or at support@grants.gov If you forget your user name or password, follow the instructions provided in the Credential Provider tutorial.

NOTE: Tutorials may be printed by right-clicking on the tutorial and selecting "print". In additional to the tutorials, the User Guide is a valuable resource. The User Guide is found at

http://www.grants.gov/GrantsGov UST Grantee/!SSL!/WebHelp/userguide.doc.